

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

## Complete if Known

Application Number	10/824,666
Filing Date	April 14, 2004
First Named Inventor	ANCONA
Examiner Name	
Art Unit	
Attorney Docket No.	1522/72065/NHZ

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 03-3125

Deposit Account Name COOPER & DUNHAM LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$ ) 0	

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		- 20** =		X			
Multiple Dependent		- 3** =		X			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$ ) 0	

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180.00

## SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	Norman H. Zivin	Registration No. (Attorney/Agent)	25,385	Telephone	212-278-0400
Signature		Date	June 21, 2004		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bruce ANCONA and Louis HENRY  
Serial No. : 10/824,666  
Filed : April 14, 2004  
For : A KNIFE AND KITCHEN TOOL STERILIZER AND  
HOLDER

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants desire to call to the attention of the Patent and Trademark Office, in connection with the examination of this application, several issued patents and publications. The disclosures cited might be considered material to the examination.

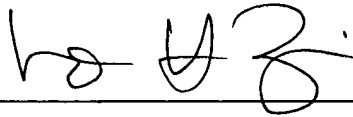
Each of the several disclosures relates to a sterilizer. It is submitted that none of the patents or publications disclose the present invention as claimed.

Form PTO-1449 and copies of the patents cited are attached.

Applicants: Bruce ANCONA and Louis HENRY  
Serial No.: 10/824,666

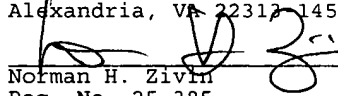
The fee provided by 37 C.F.R. §1.17(p) in the amount of \$180.00 for submission of an IDS is enclosed. If any additional fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,



Dated: June 21, 2004

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to:  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22312-1450

  
Norman H. Zivin  
Reg. No. 25,385

6/21/04  
Date

Norman H. Zivin  
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Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
1522/72065/NHZSerial No.  
10/824,666Applicant  
ANCONA et al.Filing Date  
April 14, 2004

Group

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA 4 0 1 7 7 3 6	04/12/1977	Ross			
	AB 4 0 4 7 3 9 3	09/13/1977	Hanson			
	AC 4 8 7 7 9 6 4	10/31/1989	Tanaka			
	AD 5 4 5 3 0 4 9	09/26/1995	Tillman, Jr.			
	AE 5 8 3 7 2 0 7	11/17/1998	Summers			
	AF 5 8 9 3 9 3 9	04/13/1999	Rakocy			
	AG 5 9 0 2 5 5 2	05/11/1999	Brickley			
	AH 5 9 2 0 0 7 5	07/06/1999	Whitehead			
	AI 5 9 6 8 4 5 5	10/19/1999	Brickley			
	AJ 5 9 9 7 6 1 9	12/07/1999	Knuth			
	AK 6 0 0 4 3 6 5	12/21/1999	Fiacco			
	AL 6 0 3 9 9 2 6	03/21/2000	Goldman			
	AM 6 1 5 6 0 8 5	12/05/2000	Chiu			
	AN 6 1 5 6 0 8 8	12/05/2000	Cardarelli			
	AO 6 1 7 1 5 4 8	01/09/2001	Rose			
	AP 6 2 8 6 3 3 0	09/11/2001	Kopf			
	AQ 6 4 5 4 8 4 1	09/24/2002	Kaiser			
	AR 6 4 6 4 7 6 0	10/15/2002	Sham			
	AS 6 5 7 6 1 8 8	06/10/2003	Rose			
	AT					
	AU					

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	AV						
	AW						
	AX						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AY	
	AZ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.